

## CLAIMS

Having thus described our invention, what we claim as new and desire to secure by Letters Patent is as follows:

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1        1. A method for communication between two entities in a set of clients across  
2        a network such that their identities are concealed from each other and no third  
3        party is able to trace the communication comprising the steps of:  
4                providing a set of Forwarding Agents (FAs), there being  $n$  FAs and  
5        several groups of these  $n$  agents, each of which consists of  $k$  members, where  
6         $k$  ( $0 < k \leq n$ ) is a fixed number considered sufficient to provide anonymity in  
7        the system and each FA belongs to at least one group;  
8                providing each of the FAs with its own pair of public and private keys  
9        for encryption and decryption, respectively, where the underlying  
10        cryptosystem scheme is a commutative public key cryptosystem, each FA also  
11        having appropriate keys required to perform secure digital signatures on  
12        documents and to verify the signatures of other FAs;  
13                registering each client with a Forwarding Agent S, the client once  
14        having selected a Forwarding Agent S, also picking one of the groups that the  
15        Forwarding Agent S belongs to, thus selecting  $k$  agents to be associated with  
16        the client, the step of registering including assigning a pseudonym X to the  
17        client and providing the Forwarding Agent S with an encrypted form of the  
18        client's network address, rendering it unreadable to any individual FA;  
19                maintaining by each FA a table with three fields, a pseudonym, a  
20        corresponding encrypted network address and the FA group to be used for  
21        forwarding;  
22                delivering a message meant for a pseudonym X to Forwarding Agent  
23        (FA) S where X is registered using a protocol that protects the anonymity of

24 the sender;  
25 passing the message through a random sequence of FAs in the group to  
26 which Forwarding Agent S belongs; and  
27 finding by the last FA in the sequence a visible network address and  
28 sending the message on to this address.

2. The method for communication recited in claim 1, wherein the step of  
registering comprises the steps of:  
successively encrypting by the client the client's network address with  
the public keys of the  $k$  selected agents to obtain an encrypted address,  
referred to as the "onion address" of the client;  
sending by the client to the Forwarding Agent (FA) S a Registration  
Message which contains the client's onion address and a chosen pseudonym  
X, and also identifies the group of  $k$  agents selected by the client; and  
adding by the Forwarding Agent the information contained in the  
Registration Message to its table.

3. The method for communication recited in claim 2, wherein the Registration  
Message is sent using a protocol which protects the anonymity of the sender.

4. The method for communication recited in claim 3, wherein the protocol  
used comprises the Forwarding Agent (FA) S having a publicized pseudonym  
and the client sending a message to that pseudonym.

5. The method for communication recited in claim 1, wherein once the  
Forwarding Agent (FA) S obtains a message intended for X, the Forwarding  
Agent S performs the steps of:  
looking up X in its internal table and retrieving an encrypted version of

8 the address of X, referred to as the "onion address" of X, as well as the group  
9 of FAs to be used for forwarding;

10 creating the list of the FAs that the message will pass through, which  
11 list includes all FAs other than S who will have to "peel the onion" before the  
12 address of the intended recipient is revealed, the list containing all the  
13 members of the appropriate group except the Forwarding Agent S itself; and  
14 affixing the list to the head of the message.

1 2 6. The method of communication recited in claim 5, further comprising the  
step of encrypting the message before forwarding it to FAs in the sequence.

1 2 7. The method of communication recited in claim 6, wherein the step of  
encrypting comprises the steps of:

3 splitting the message into blocks of a fixed size;  
4 prefixing each block with a fixed number of random bits, producing  
5 blocks of a larger size; and  
6 encrypting each block of a larger size with the public key or shared  
7 symmetric key of the intended recipient.

1 2 8. The method of communication recited in claim 6, wherein each FA which  
receives the message performs some verifications to ensure protocol  
3 consistency by other FAs.

1 2 9. The method of communication recited in claim 8, wherein the verifications  
comprise the steps of:

3 checking by an agent whether it is the first agent to be visited in the  
4 current domain and, if so, selecting at random a tag N which has not been  
5 recently used and affixing the tag to the message header before passing the

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6 message on;  
7 otherwise, finding out the name S of the first agent to receive this  
8 message in the current domain;  
9 verifying a signature of S on a first part of the signed sequence in the  
10 message header and, if this verification succeeds, then verifying that every  
11 successive segment of the signed sequence bears the valid signature of the  
12 agent named in the preceding segment;  
13 verifying that the last segment of the signed sequence contains the  
14 name of the agent performing the verification, while the penultimate segment  
15 contains the name of the agent from which the message was received;  
16 verifying that the list of unvisited agents does not contain any agents  
17 named in the signed sequence; and  
18 if any of the verifications fail, aborting the current message.

10. The method of communication recited in claim 8, wherein the verifications  
2 comprise the steps of:  
3 computing the agent's own sequence number  $i$  in the path followed by  
4 this message through the set of forwarding agents by subtracting the number  
5 of FAs in the list of unvisited FAs from  $k + 1$ ;  
6 checking if  $i$  is 1 and, if  $i$  is 1, then sending a coordinating agent (CA)  
7 a request for a tag and receiving the tag N as well as the number  $k - 1$ ,  
8 combined with N and signed before passing the message on;  
9 if the number  $i$  is found to be different from 1, then verifying the  
10 signature of CA  $(i - 2) \bmod r$  on the signed number in the message header and,  
11 if verification succeeds, then verifying if the signed number is  $k + 1 - i$  and, if  
12 the verification succeeds, sending the numbers  $k + 1 - i$  and N and the name  
13 of the previous FA to CA  $(i - 1) \bmod r$ ;  
14 receiving a signed number and a signal from CA  $(i - 1) \bmod r$  and

15 verifying if the signal is "OK" and, if so, verification is complete and the  
16 message is passed on; but

17 if any of the verifications fail, concluding that the protocol has not  
18 been executed correctly and aborting the current message.

1 11. The method of communication recited in claim 10, wherein the CA, upon  
2 receiving a request from some FA, referred to as P, for a tag, performs the  
3 steps of:

4 selecting a tag N and sending it to P;

5 combining the tag N with a number  $k - j$ , signing the result and sending  
6 the signed number to P along with an "OK" signal;

7 waiting for a message about the tag N, and upon receiving such a  
8 message, verifying if it came from the next CA referred to as D, and if the  
9 message did not come from D, announcing a protocol violation in receiving  
10 tag N;

11 otherwise, verifying the message involves the number  $k - 1$ , and if this  
12 verification fails, sending an "Abort" message to D; but

13 if the verification passes, sending to D an "OK" signal and the identity  
14 of P.

1 12. The method of communication recited in claim 10, wherein any CA other  
2 than CA 0, upon receiving a message from some FA referred to as P, performs  
3 the steps of:

4 finding a number  $j$ , a tag N, and the identity of P, the previous FA, in  
5 the message;

6 sending a message to the previous CA asking for the name of the  
7 corresponding FA, for tag N, and number  $j + 1$ ;

8 receiving a signal and a table from the previous CA, and verifying that

9 the signal is "OK" and the name is P, and if such verification fails, sending an  
10 "Abort" signal to P;  
11 otherwise, verifying that the most recent request, if any, involving the  
12 tag N involved the number  $j + 1$ , verifying that it is the  $(k - j)^{\text{th}}$  CA, and if  
13 either of these verifications fails, sending an "Abort" signal to P;  
14 but if the verifications pass, combining  $j - 1$  with N, signing the result  
15 and sending the signed number to P along with an "OK" signal;  
16 waiting for a message about the tag N, and upon receiving such a  
17 message, verifying if it came from the next CA referred to as D, and if the  
18 message did not come from D, announcing a protocol violation in writing tag  
19 N;  
20 otherwise, verifying the message involves the number  $j - 1$ , and if this  
21 verification fails, sending to D an "OK" signal and the identity of P.

13. The method of communication recited in claim 5, wherein a next FA is  
chosen comprising the steps of:  
3 checking by an agent if there are any more agents to be visited in the  
4 present domain and, if not, then marking the present domain as visited and  
5 removing the signed sequence from the message header;  
6 choosing an unvisited domain at random and making it the present  
7 domain;  
8 choosing an agent belonging to the current domain at random from the  
9 list of unvisited agents and, following this, passing the message on to the  
10 chosen agent;  
11 if, instead, the agent finds that not all the agents in the domain have  
12 been visited, then choosing at random an unvisited agent belonging to the  
13 current domain;  
14 combining the random number N with the name of the chosen agent

15 and signing the resulting plaintext; and  
16 adding the plaintext and signature to the signed sequence, following  
17 which the message is forwarded to the chosen agent.

1 14. The method of communication recited in claim 5, wherein a next FA is  
2 chosen comprising the steps of:  
3       choosing by a current forwarding agent an FA at random from the list  
4 of unvisited FAs in the message header;  
5       removing its own name from the list;  
6       adding the signed number that it received from an appropriate  
7 coordinating agent (CA) to the message header; and  
8       forwarding the message to the next chosen agent.

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